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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/783,841	02/20/2004	Gail Ellen McGiffin	10022/444 (01509-01/US)

28164  
 ACCENTURE CHICAGO 28164  
 BRINKS HOFER GILSON & LIONE  
 P O BOX 10395  
 CHICAGO, IL 60610



CONFIRMATION NO. 7513

## FORMALITIES LETTER



\*OC000000012672848\*

Date Mailed: 05/14/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria VA 22313-1450

09/20/2004 AWONDAF1 00000121 10783841

01 FC:1051 130.00 00

*A copy of this notice **MUST** be returned with the reply.*

UG

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:  
 Date: September 14, 2004 Name: Susan D. Reinecke, Reg. No. 40,198 Signature: *Susan D. Reinecke*

**BRINKS  
HOFER  
GILSON  
& LIONE**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln. of: Gail E. McGiffin, et al.

Appn. No.: 10/783,841

Examiner: N/A

Filed: February 20, 2004

Art Unit: 3624

For: ACCOUNT LEVEL PARTICIPATION FOR  
UNDERWRITING COMPONENTS

Attorney Docket No: 10022/444 (01557-00/US)

Mail Stop Missing Parts  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL**

Sir:

**Attached is/are:**

- ☒ Transmittal Letter (in duplicate, 1 page each); Response to Notice to File Missing Parts (in duplicate, 2 pages each); Notice to File Missing Parts (2 pages); a Check in the amount \$130.00 for surcharge; Declaration (10 pages)
- ☒ Return Receipt Postcard

**Fee calculation:**

- ☐ No additional fee is required.
- ☐ Small Entity.
- ☒ Petition for a Two (2)-Month Extension of Time under 37 C.F.R. § 1.136(a) (in duplicate, 2 pages each); a Check in the amount of \$420.00 for extension fee.
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Presentation of Multiple Dep. Claim					+\$145=			+\$290=	
					Total	\$		Total	\$

**Fee payment:**

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

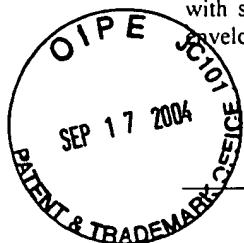
Respectfully submitted,

Date

*9/14/04*

*Susan D. Reinecke*  
Susan D. Reinecke (Reg. No. 40,198)

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:



Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313  
on September 14, 2004

Date of Deposit

Susan D. Reinecke, Reg. No. 40,198

Name of applicant, assignee or  
Registered Representative

*Susan D. Reinecke*

Signature

9-14-04

Date of Signature

Case No. 10022/444 (01557-00/US)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Gail E. McGiffin, et al.

Serial No.: 10/783,841

Filed: February 20, 2004

For: ACCOUNT LEVEL  
PARTICIPATION FOR  
UNDERWRITING  
COMPONENTS

Attention: Manager,  
Application Branch

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attn.: Mail Stop Missing Parts

Dear Sir:

In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated May 14, 2004, a copy of which is attached (2 pages), enclosed herewith for filing are the following documents:



Fully executed Declaration for Patent Application for the above-referenced patent application (10 pages).

- ☒ Applicant:
- ☐ claims small entity status. See 37 CFR1.27.
- ☒ is other than small entity
- ☒ Checks totaling \$130.00 for:
- ☐ Filing Fee of \$\_\_\_\_.
- ☒ Surcharge of \$130.00.
- ☐ Additional Claim Fees of \$\_\_\_\_.
- ☒ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate, 2 pages each).
- ☒ Other: a Check in the amount of \$420.00.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: September 14, 2004



Susan D. Reinecke  
Registration No. 40,198  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
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